PATENT APPLICATION

Group Art Unit: Not assigned

Examiner: Not assigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masato KUROSAKI, et al.

Appln. No. Not assigned

Confirmation No.:Not assigned

Filed: Agust 31, 2001

For: SECONDARY BATTERY AND CAPACITOR UTILIZING INDOLE COMPOUNDS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

- 1. Japanese Patent Application No. 10-289617 with English Abstract
- 2. Japanese Patent Application No. 11-126609 with English Abstract
- 3. Japanese Patent Application No. 9-508487 with English Abstract
- 4. Japanese Patent Application No. 11-144732 with English Abstract
- 5. Japanese Patent Application No. 11-288717 with English Abstract



INFORMATION DISCLOSURE STATEMENT

New U.S. Application to: M. KUROSAKI et al.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: Applicants rely on the English Abstracts as the brief statements of relevance.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Howard L. Bernstein

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